

NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE (2020)

This questionnaire is designed to help National Contact Points fulfil their reporting obligations as described in the Procedural Guidance of the OECD Guidelines for Multinational Enterprises. The answers to the questions should reflect the nature and results of the activities of the NCP¹.

STRUCTURE

Exceptionally, in 2020 this questionnaire comprises two parts.

Part I is the annual reporting questionnaire for NCPs comprising 61 questions and 2 annexes under the following headings:

- A. Contact information
- B. Institutional arrangements
- C. Information and promotion
- D. Specific instances
- E. Peer learning and peer reviews
- F. Policy coherence
- G. Challenges

Annex 1: NCP PROMOTIONAL ACTIVITIES

Annex 2: SPECIFIC INSTANCES

Part II is an optional set of questions related to the OECD Guidelines stocktaking exercise.

TYPES OF QUESTIONS (relating to Part I. only)

1. Questions with predefined answers

For most questions, a pre-defined set of answers are provided in a drop-down menu (e.g. Yes-No-N/A). For each of these questions, please select the appropriate answer in the dropdown menu.

Example:

(b) Promotional activities	
19. Did the NCP organise or co-organise events to promote the Guidelines and/or the NCP during the year? <i>If yes, please provide details in table 1 in Annex 1.</i>	Yes/No

2. Open-ended questions

- a) Some questions are open-ended, and in these cases an answer box is provided. Many of these questions are meant to complement a question with predefined answers. Please keep responses precise and short.

¹ Page 74 of the Procedural Guidance of the OECD Guidelines for Multinational Enterprises: "Reports should contain information on the nature and results of the activities of the NCP, including implementation activities in specific instances".

Example:

(b) Advisory body	
5. Does the NCP have one or several advisory bodies?	Yes/No
If the NCP has several advisory bodies, please specify.	Click here to enter text.

- b) “Additional comments” boxes are situated at the end of each section. Please feel free to enter any additional information you would like to share in these boxes.

Example:

<p><i>Additional comments on Advisory body</i> Click here to enter text</p>
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TERMS USED IN THE QUESTIONNAIRE

A number of terms are used throughout the questionnaire. Definitions are provided below. Please note that these definitions are exclusively intended to assist in clarifying the terms used in this questionnaire. These terms will be underlined the first time they are used in this questionnaire.

- **Structure of the NCP:** The organisational set up of the NCP. Four NCP structures have been pre-identified, but other arrangements are possible:
 - Single-agency: The NCP is composed of one individual in a single ministry or government agency, or by a group of individuals belonging to the same service in the same ministry or government agency.
 - Inter-agency: The NCP is composed of a group of representatives from several ministries or government agencies.
 - Expert-based: The NCP is composed of experts who are external to government.
 - Multipartite: The NCP is composed of a group of government officials and stakeholder representatives.
- **Secretariat:** Government officials or group of government officials acting as the permanent office of an NCP.
- **Advisory body:** Some NCPs have an advisory body which can be consulted by the NCP on a range of activities and issues on which it provides advice. Advisory bodies can include representatives from business, trade unions, NGOs and/or academia, along with representatives of other government ministries or agencies. They do not normally form part of the NCP and do not have decision-making power on accepting or concluding specific instances. Some advisory bodies also provide oversight to the NCP (see below).
- **Oversight:** Monitoring of the NCP’s activities performed by an advisory body, for example on whether the NCP has followed its own rules of procedures.

- **Dedicated budget:** A budget that is allocated to the NCP on a regular basis (e.g. every year) to carry out its functions and activities.
- **Reporting:** In addition to the present report to the OECD, some NCPs provide a regular account of their activities to their national government or parliament. This reporting can take several forms: ongoing through regular reporting channels, or through the presentation of a periodic report, which may be made public.
- **Stakeholder meeting:** A stakeholder meeting is a meeting organised by the NCP to exchange with stakeholders, including trade unions, NGOs and the business community that are not part of the main body or of the advisory body of the NCP.

REPORTING PERIOD

The reporting period for this questionnaire is from 1 January to 31 December 2020.

SUBMISSION

The questionnaire should be completed by using this word document and sent by email to: RBC@oecd.org copying nicolas.hachez@oecd.org and carissa.munro@oecd.org by **22 January 2021**.

Should you have any questions on how to fill this questionnaire, please contact carissa.munro@oecd.org.

I. NATIONAL CONTACT POINT ANNUAL REPORTING QUESTIONNAIRE (2020)

A. CONTACT INFORMATION	
<i>Please provide the contact information of the person filling in the questionnaire.</i>	
Name:	Márcio Lima
Job title:	NCP Coordinator
Email:	pcn.ocde@economia.gov.br
Telephone number:	Click here to enter text.
B. INSTITUTIONAL ARRANGEMENTS	
(a) Structure, location and composition of the NCP	
1. What is the <u>structure of the NCP</u> ?	Inter-agency
2. Who are the members of the NCP? <i>Please describe the functions of the members of the NCP and the ministry/agency or stakeholder organisation they represent. No names are required.</i>	The Brazilian NCP is a working group composed of several public agencies - Ministry of Economy (Special Secretariat of Foreign Trade and International Affairs; Special Secretary for Productivity, Employment and Competitiveness; Special Secretariat for Social Security and Labour); Ministry of Foreign Affairs; Ministry of Environment; Ministry of Justice and Public Security; Ministry of Women, Family and Human Rights; Ministry of Mines and Energy; Central Bank of Brazil; and Comptroller General of the Union. The Coordinator of the NCP is a senior official, who is the Undersecretary of Foreign Investment at the Ministry of Economy.
3. If the NCP is not a <u>single-agency NCP</u> , does it have a <u>secretariat</u> ?	Yes
If yes, in which ministry or agency?	Ministry of Economy
If yes, in which department of the ministry or agency?	Special Secretariat of Foreign Trade and International Affairs, Executive Secretariat of the Foreign Trade Board, Undersecretariat of Foreign Investment.
4. Has the NCP been established through a legislative, regulatory or administrative instrument (e.g. a statute, a decree, a ministerial resolution)?	Yes
If yes, please provide the name and date of this instrument, and a link if available	Decree no. 9.874, of 27 June 2019 http://www.in.gov.br/web/dou/-/decreto-n-9.874-de-27-de-junho-de-2019-179414815
<i>Additional comments on Structure, location and composition of the NCP</i> Click here to enter text.	
(b) Advisory body	

5. Does the NCP have one or several <u>advisory bodies</u> ?	No		
If the NCP has several advisory bodies, please specify.	Click here to enter text.		
If yes, please describe the advisory body(ies)' functions (e.g. advising on promotion, on specific instance handling in general, on individual cases, providing <u>oversight</u> , etc.)	Click here to enter text.		
If yes, please list the names of organisations that are represented on the advisory body(ies) and the type of organisation. (e.g. government, business, business organisation, NGO, trade union, academia, consumer organisation, etc.)	Click here to enter text.		
If yes, please indicate how often the advisory body(ies) meets	Choose an item.		
6. If an advisory body provides oversight, please describe the oversight procedure	Click here to enter text.		
<i>Additional comments on Advisory body:</i> Click here to enter text.			
(c) Human and financial resources			
7. Does the NCP have dedicated full-time staff? <i>If yes, please indicate the number.</i>	Yes	4	
8. Does the NCP have dedicated part-time staff? <i>If yes, please indicate in the second box the number of part-time staff members and in the third box the percentage of time spent on NCP matters for each part-time staff member.</i>	Yes	1	50%
9. Have any full-time or part-time staff members joined the secretariat during the year? <i>If yes, please indicate how many.</i>	Yes, both part-time and full-time staff members	4	
10. Have any full-time or part-time staff members left the NCP during the year? <i>If yes, please indicate how many.</i>	Yes, both part-time and full-time staff members	3	
11. Did the NCP have a <u>dedicated budget</u> this year?	No		
If no, were financial resources for promotional activities allocated on an ad hoc basis when requested by the NCP?	No		
If no, were financial resources for specific instances allocated on an ad hoc basis when requested by the NCP?	No		
12. During the year, did the human and financial resources available to the NCP allow it to:			
Handle specific instances in an efficient and timely manner	Yes		
Organise promotional events	No		
Attend NCP meetings at the OECD	Yes		
Attend events organised by other NCPs	No		
Attend events organised by stakeholders	Yes		

Cover professional mediator fees or in-house mediator fees	N/A: The NCP did not need to hire a mediator this year
Conduct fact-finding research into specific instances	N/A: The NCP did not need to conduct fact finding research this year
If you answered no to any of the above, please specify	The NCP team was focused on handling specific instances and participating in events organized by stakeholders.
<i>Additional comments on Human and financial resources:</i> The NCP team was restructured in 2020, with an additional member on its team. In 2021, we will continue to work to improve the handling of specific cases in an efficient and timely manner and to promote the OECD Guidelines. Furthermore, it is important to note that NCP Brasil was only able to participate in the numerous meetings and events organized by the OECD, last year, because they were online. We don't know yet what will be the NCP budget when the pandemic has passed and we had to return to the reality of face-to-face meetings.	
(d) Reporting	
13. Does the NCP <u>report</u> to the executive on its activities?	Yes
If yes, how often?	Twice a year
14. Does the NCP report to the legislative body on its activities?	No
If yes, how often?	N/A
15. Please indicate any other relevant transparency requirement (e.g. access to information or disclosure laws) applicable to the NCP in your country	http://www.planalto.gov.br/ccivil_03/_ato2011-2014/2011/lei/l12527.htm
<i>Additional comments on Reporting:</i> The Brazilian NCP reports its activities twice a year to the National Investment Committee (Coninv, in Portuguese). The report is published on the website.	

C. INFORMATION AND PROMOTION		
(a) NCP website²		
16. Does the NCP have a website? If yes, please provide the link in the second box.	Yes	https://www.gov.br/produktividade-e-comercio-exterior/pt-br/assuntos/camex/pcn
If yes, was the website created/renovated this year?	Yes	
17. Are the following items available on the NCP website?		
Information about the Guidelines and the role of the NCP		
The text of the Guidelines	Yes	

A description of the Guidelines	Yes
The OECD Due Diligence Guidance Documents	No
Explanatory text about due diligence	No
Information on the NCP and its mandate	Yes
The NCP Annual Report submitted to the OECD	Yes
The NCP's Report to the executive and/or legislative (if applicable)	Yes
The NCP's peer review report (if applicable)	N/A: The NCP does not have a peer review report
Information about specific instances	
Information on how to submit a specific instance	Yes
An online form to submit a specific instance	Yes
The NCP's rules of procedure	Yes
All final statements since 2011	No
Information on promotional activities	
The NCP's promotional plan	No
Information on upcoming events promoting the Guidelines	No
Information on past events promoting the Guidelines	No
Contact information	
Information on how to make an enquiry to the NCP	Yes
A phone number to reach the NCP directly	Yes
An email address to reach the NCP directly	Yes
<p>Additional comments on NCP website: The website was updated in 2020 and will continue to be in 2021.</p> <p>Information about the Guidelines and the role of the NCP We intend to include a new session on promotional activities and the OECD due diligence guidances, among others.</p> <p>Information about specific instances Currently, the online form to submit a specific instance is part of the Brazilian NCP Rules of Procedure. We intend to change it to a more accessible format in 2021. In early 2021, we will conclude the review of the specific instances on the Brazilian NCP website, which will include making all final statements available.</p> <p>Information on promotional activities We intend to develop a new session on the NCP website providing more accurate information on promotional activities.</p>	
(b) Promotional activities³	
18. Does the NCP have a promotional plan for the coming year(s)?	Yes
19. Did the NCP organise or co-organise events to promote the Guidelines and/or the NCP during the year? <i>If yes, please provide details in table 1 in Annex 1.</i>	Yes

³ Attendance of NCP meetings held at the OECD and other OECD events such as the Global Forum on Responsible Business Conduct are not considered to be promotional activities.

20. Did the NCP make a presentation to promote the Guidelines and/or the NCP in events organised by others? <i>If yes, please provide details in table 2 in Annex 1.</i>	Yes
21. Did the NCP make use of social media to communicate on NCP promotional activities during the year?	Yes
22. Did the NCP hold a <u>stakeholder meeting</u> during the year?	No
23. Did the NCP promote the Guidelines among the business community during the year?	Yes
24. Did the NCP carry out any training on the Guidelines aimed at businesses during the year?	No
25. Did the NCP promote the Guidelines among NGOs during the year?	Yes
26. Did the NCP promote the Guidelines among trade unions during the year?	Yes
27. Did the NCP promote the Guidelines among government agencies during the year?	Yes
28. Did the NCP promote the Guidelines among embassies abroad during the year?	Yes
29. Did the NCP promote the Guidelines to investment promotion agencies during the year?	Yes
30. Did the NCP focus on any of the following during promotional activities during the year:	
OECD Due Diligence Guidance for Responsible Business Conduct	Yes
OECD Due Diligence Guidance for Responsible Supply Chains in the Garment and Footwear Sector	No
Due Diligence for Responsible Corporate Lending and Securities Underwriting and/or Responsible Business Conduct for Institutional Investors	No
OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas	No
OECD Due Diligence Guidance for Meaningful Stakeholder Engagement in the Extractive Sector	No
OECD-FAO Guidance for Responsible Agricultural Supply Chains	No
<p><i>Additional comments on the section Promotional activities:</i> We included in the NCP roadmap within the CERALC program the support from the OECD for the translation of these guidances and other material. This will certainly help us in specific promotional activities. Training activities and meetings/events with stakeholders are also included in the roadmap.</p>	

D. SPECIFIC INSTANCES

(a) NCP rules of procedure for handling specific instances

31. Does the NCP have rules of procedure describing the handling of specific instances?	Yes	
32. Are the rules of procedure available online? <i>If yes, please provide a link in the second box. If no, please attach a copy of the rules of procedure to this questionnaire</i>	Yes	https://www.gov.br/produktividade-e-comercio-exterior/pt-br/assuntos/camex/pcn/produtos/formularios/pcn-manual-de-procedimentos-para-instancias.pdf (PT), https://www.gov.br/produktividade-e-comercio-exterior/pt-br/assuntos/camex/pcn/produtos/outros/procedure-manual-ncp-brazil.pdf (ENG).
33. Were the NCP's rules of procedure modified this year?	Yes	
<i>Additional comments on the section Rules of procedure:</i> The new rules of procedure cover a broader range of issues (as confidentiality and follow-up procedures) and are more detailed (roles, deadlines, etc) than the past one.		
(b) Specific instance practicalities		
34. Does the NCP confirm receipt of a specific instance submission?	Yes	
35. Does the NCP request feedback from the parties on the procedure following the conclusion of a specific instance?	Yes	
36. Has the NCP staff undergone training in dispute resolution or problem solving (e.g. mediation)?	No	
37. Did the NCP engage professional mediators during the year?	No	
38. Did the NCP staff or members conduct mediation this year?	No	
<i>Additional comments on the section Specific instance practicalities:</i> - Training activities in mediation are included in the NCP roadmap. - The Brazilian NCP has mediators as a part of its inter-agency working group. The Special Secretariat for Social Security and Labour has a team of experts in mediation at the disposal of the Brazilian NCP.		
(c) Reporting specific instances		
39. Did the NCP receive new specific instance submissions during the year? <i>If yes, please also provide details in Annex 2</i>	Yes	

40. Did the NCP close specific instances during the year? <i>If yes, please also provide details in Annex 2</i>	Yes
41. Of the specific instances that were already in progress at the start of the year, are there any that are still ongoing at the end of the year? <i>If yes, please also provide details in Annex 2</i>	Yes
42. Did the NCP follow up on a case during the year? <i>If yes, please also provide details in Annex 2</i>	Yes
43. Is the OECD case database accurate and up to date with regard to cases handled by the NCP? If not, please provide details (missing cases, out of date entries, broken links, etc.) in the additional comments section below.	Yes
<p><i>Additional comments on the section Reporting specific instances:</i> In 2020, the Brazilian NCP made an extensive review of its instances available on the OECD database and requested some corrections. Most of the specific instances are now updated. Additional updates are being requested in January 2021.</p>	

E. PEER LEARNING AND PEER REVIEWS	
44. Did the NCP take part in the following activities with other NCPs during the year:	
Host a peer learning activity	<i>No</i>
Participate in peer learning activities hosted by other NCPs	<i>No</i>
Co-operate with other NCPs in handling specific instances	<i>Yes</i>
Provide mentoring/capacity building to another NCP	<i>No</i>
45. Is the NCP interested in hosting an NCP learning/experience-sharing event in 2020?	<i>Yes</i>
46. Which topic would the NCP consider to be a priority to cover in a peer learning event?	Rules of Procedure
47. Is the NCP interested in participating in developing tools for use by NCPs?	<i>No</i>
48. Is the NCP interested in acting as a peer reviewer in the future?	<i>No</i>
If yes, please specify the semester and the year	Click here to enter text.

*Additional comments on the section **Peer-learning and peer reviews**:*

Click here to enter text.

F. POLICY COHERENCE ⁴	
49. Have the Guidelines been referred to in relevant national legislation/regulations/policies adopted during the year? <i>(e.g. on responsible business conduct; non-financial reporting, export credits regulation, public procurement)</i>	Yes
If yes, please provide a short summary and a link to the legislation. <i>If a link is not available, please attach a copy of the legislation to this questionnaire.</i>	<ul style="list-style-type: none"> - Coninv Resolution No. 02/2020 of 22/12/2020 approves a mandate for the elaboration of an Action Plan on Responsible Business Conduct (PACER) coordinated by the Brazilian NCP (https://www.in.gov.br/web/dou/-/resolucao-coninv-n-2-de-22-de-dezembro-de-2020-296044638). - On March 25, 2020, the Central Bank of Brazil (BCB) joined the Network for Greening the Financial System (NGFS) to support the transition towards a sustainable global economy and a greener financial sector. Moreover, the BCB launched the Sustainability Dimension of the Agenda BC# (the BCB's institutional work agenda). As a result of those initiatives, the BCB started to work on the improvement of Resolution nº 4.327 of 2014, which establishes the guidelines for the implementation of social and environmental responsibility policy by financial institutions. The new approach will strengthen the evaluation of risks related to social and climate matters and will also enhance social and environmental responsibility.
50. Did your country adopt a National Action Plan (NAP) this year? <i>If yes, please indicate which type of NAP.</i>	No
If yes, please provide a link.	Choose an item.
If yes, please provide a link.	Click here to enter text.
If yes, does the NAP make reference to the Guidelines?	Choose an item.
If yes, does the NAP make reference to the NCP?	Choose an item.
51. Was a NAP in development this year? <i>If yes, please indicate which NAP.</i>	No
	Choose an item.

⁴ Paragraph 37 of the Commentary on the Implementation Procedures of the OECD Guidelines for Multinational Enterprises: "Statements and reports on the results of the proceedings made publicly available by the NCPs could be relevant to the administration of government programmes and policies. In order to foster policy coherence, NCPs are encouraged to inform these government agencies of their statements and reports when they are known by the NCP to be relevant to a specific agency's policies and programmes [...]."

If yes, did the NCP participate in the development of the National Action Plan?	Choose an item.
52. Did the NCP inform officials responsible for trade missions of its relevant statements and reports?	No
53. Did the NCP inform officials responsible for foreign trade and investment incentives of its relevant statements and reports?	Yes
54. Did the NCP inform officials responsible for public procurement of its relevant statements and reports?	Yes
55. Does your national legislation or policy on public procurement refer to the Guidelines and/or OECD due diligence instruments and/or to the NCP process?	No
If yes, please provide a link to the legislation. <i>If a link is not available, please attach a copy of the legislation to this questionnaire.</i>	Click to enter text.
56. Have public procurement officials/practitioners consulted the NCP on the Guidelines and OECD due diligence instruments or involved the NCP in specific public procurement opportunities?	Yes
57. Were public procurement officials involved in any of your training/outreach activities?	No
58. What tools or activities does the NCP develop to support public procurement practitioners on RBC and OECD due diligence?	In 2020, the NCP has engaged in a close dialogue with public procurement practitioners in the context of the LPP-WPRBC work. We intend to continue and intensify this partnership in 2021.
59. Please identify any specific initiatives/good practices involving public procurement and RBC in your country.	In 2020 2 articles are publicized by CGU with good practices of Integrity at Covid-19 Pandemic time: https://basedeconhecimento.cgu.gov.br/bitstream/1/8544/1/cartilh_a_integridade_covid.pdf and https://basedeconhecimento.cgu.gov.br/bitstream/1/9113/1/Cartilh_a_Integridade_Covid_2.pdf . New edition of Pró-Ética 2020-2021 (https://www.gov.br/cgu/pt-br/assuntos/etica-e-integridade/empresa-pro-etica), and report about Pró-Ética 2018-2019 with an overview of tenth year of the initiative, released at October 2020: https://basedeconhecimento.cgu.gov.br/bitstream/1/9976/1/Relatorio_Pro_Etica_18_19.pdf
60. Please include any other examples of policy coherence activities.	The Brazilian NCP works towards policy coherence through: - its own inter-agency working group, which includes relevant public bodies related to the OECD Guidelines' themes and key sectors (Ministry of Economy; Ministry of Justice and Public Safety; Ministry of Foreign Affairs; Ministry of Mines and Energy; Ministry of Environment; Comptroller General of the Union; Ministry of Woman, Family and Human Rights; and Central Bank of Brazil); - the supervision by the National Investment Committee of the Board of Foreign Trade and Investment of the Ministry of Economy; - partnership with other strategic public bodies/agencies in Brazil, as the Executive Office of the President, the Securities and Exchange Commission and the Ministry of Agriculture, Livestock and Supply.

*Additional comments on **Policy Coherence**:*
Click here to enter text.

G. CHALLENGES

61. Has the NCP faced any particular challenge(s) it would like to highlight this year?

Click here to enter text.

*Additional comments on **Challenges**:*

Promoting the OECD Guidelines in Brazil was the main challenge for the NCP in 2020, considering the pandemic situation, the restructuring in the NCP staff, and the many specific instances to handle. Besides, the requests from the OECD Secretariat have also posed a major challenge, especially regarding the CERALC program. For instance, regarding the virtual fact-finding mission for the RBC policy review, our team had to organize its schedule in a short time, assist in all meetings, and deal with the follow-up needs.

Annex 1: NCP PROMOTIONAL ACTIVITIES

Please provide information on the events organised or co-organised by the NCP (Table 1), and events in which the NCP has participated to promote the Guidelines (Table 2).
 Please select the event type, size and type of audience as well as the theme from the dropdown menus for each event.
 Please add additional lines if needed.

Table 1

NCP-organised and co-organised events to promote the Guidelines and/or the NCP							
Title	Date <i>(dd/mm/yyyy)</i>	Location	Type of event	Size of audience	Organised or co-organised?	Targeted audience <i>e.g. Business representatives, NGOs, Trade unions, Academia, General public, Government representatives, etc.</i>	Theme <i>e.g. the OECD Guidelines, the NCP activities on sector due diligence guidance documents, etc.</i>
Session co-organized with the OECD during the event “3ª Cátedra Barão do Rio Branco”	24/09/2020	Online	Webinar	>100	Co-organised	General public	Launch of the OECD Due Diligence Guide
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.

Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.

Total number= 1

Table 2

Presentations by the NCP to promote the Guidelines and/or the NCP in events organised by others								
Title	Date (dd/mm/yyyy)	Location	Type of event	Size of audience	Targeted audience <i>e.g. Business representatives, NGOs, Trade unions, Academia, General public, Government representatives, etc</i>	Organiser(s)	Type of intervention	Theme of the intervention
ABC de mecanismos de reparación de Instituciones Financieras Internacionales (IFIs) y Puntos Nacionales de Contacto (PNC)	19/05/2020	Online	Webinar	>100	General public	Oficina en Colombia de la Alta Comisionada de las Naciones Unidas para los Derechos Humanos	Speaker	Brazilian NCP grievance mechanism – functioning and past experiences

Sujeitos e Direitos no Pós-Covid-19	27/05/2020	Online	Webinar	10-50	General public	PUC Paraná (Brazilian university)	Speaker	OECD Guidelines promotion and the Brazilian NCP role
Thesis defense on NCPs and modern slavery	25/06/2020	Online	Other	<10	Academia	UnICEUB (Brazilian University)	Evaluator	OECD Guidelines and NCP functioning
Avaliação de Políticas Públicas Pós-Pandemia	26/08/2020	Online	Webinar	10-50	General public	Rede Governança Brasil	Speaker	OECD Guidelines promotion and the Brazilian NCP role
Virtual meeting on LAC NHRIs and LAC NCPs: Exploring potential for cooperation, Regional Forum on Business and Human Rights	10/09/2020	Online	Meeting	10-50	National Human Rights Institutions	OECD	Brazilian NCP representative	NCPs' roles and cooperation with NHRIs
Todos los caminos hacia la reparación : espacio de discusión sobre acceso a reparación	11/09/2020	Online	Webinar	>100	General public	OECD, UN	Speaker	Access to remedy through NCPs

NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE (2020)

con PNC, Regional Forum on Business and Human Rights								
Mecanismos de compliance internacional no combate à corrupção de multinacionais, dentro das legislações domésticas que foram estruturadas pós-convenção da OCDE	14/10/2020	Online	Webinar	10-50	Academia	PUC São Paulo (Brazilian university)	Speaker	OECD Guidelines (focus on anti-corruption) promotion and the Brazilian NCP role
Regional trends and dialogue: Latin America and the Caribbean, 9th UN Forum on Business and Human Rights	18/11/2020	Online	Webinar	>100	General Public	UN, OECD	Speaker	OECD Guidelines (focus on human rights) promotion and the Brazilian NCP role on policy coherence

Total number= 8

Annex 2: SPECIFIC INSTANCES

Please provide details of the following in the table below:

1. Specific instances **in progress** during the year (specific instances received and found to merit further examination after the initial assessment, and under consideration by the NCP).
2. Specific instances **concluded** during the year (specific instances that the NCP found to merit further examination after the initial assessment and that have subsequently been closed).
3. Specific instances **not accepted** during the year (specific instances that the NCP found not to merit further examination).
4. Specific instances for which **follow up** was performed during the year (the NCP monitored whether the agreement reached by the parties or its recommendations to the parties have been implemented)

Please add additional lines if needed.

We encourage all NCPs to inform the OECD Secretariat on received specific instances and to provide a final statement as soon as it becomes available, in order to keep the OECD database updated at all times. For all specific instances that have not yet been reported to the OECD Secretariat, please complete and send this [form](#) to ncp-specific-instances@oecd.org⁵.

For all specific instances that are currently IN PROGRESS:

Names of parties	Date received <i>(dd/mm/yyyy)</i>	Is the initial assessment publicly available?	Link to OECD database if available	Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.
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⁵ According to paragraph 42 of the Commentary on the Implementation Procedures of the OECD Guidelines for Multinational Enterprises, “[...] NCPs will report to the Investment Committee in order to include in the Annual Report on the OECD Guidelines information on all specific instances that have been initiated by parties, including those that are in the process of an initial assessment, those for which offers of good offices have been extended and discussions are in progress, and those in which the NCP has decided not to extend an offer of good offices after an initial assessment”.

Bracell Bahia Forestry Ltda and Izabel Lopes Soares da Silva	31/08/2020	No	http://mneguidelines.oecd.org/database/instances/br0028.htm	No
Petrobras S.A. and Douglas Linares Flinto	16/07/2020	No	http://mneguidelines.oecd.org/database/instances/br0032.htm	No
Eni SpA and Douglas Linares Flinto	16/07/2020	No	To be included	NCP Italy
Vale S.A. and Multiple Individuals	14/02/2020	No	http://mneguidelines.oecd.org/database/instances/br0029.htm	No
Vale S.A. and Mário Ziviani Gomes	14/02/2020	No	http://mneguidelines.oecd.org/database/instances/br0031.htm	No
Vale S.A. and Carlos Cleber Guimarães Júnior e Carla de Laci França Guimarães	23/01/2020	No	http://mneguidelines.oecd.org/database/instances/br0030.htm	No
JDE and Conectas; ADERE-MG	21/08/2018	No	http://mneguidelines.oecd.org/database/instances/br0026.htm	NCP The Netherlands
Dunkin Donuts and Conectas; ADERE-MG	21/08/2018	No	http://mneguidelines.oecd.org/database/instances/br0026.htm	NCP USA
McDonalds and Conectas; ADERE-MG	21/08/2018	No	http://mneguidelines.oecd.org/database/instances/br0026.htm	NCP USA
Nestlé and Conectas; ADERE-MG	21/08/2018	No	http://mneguidelines.oecd.org/database/instances/br0026.htm	NCP Switzerland

Total number=	10
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For all specific instances that were CONCLUDED during the year:

Title	Date received <i>(dd/mm/yyyy)</i>	Date concluded <i>(dd/mm/yyyy)</i>	Link to OECD database if available	Outcomes achieved	Does the final statement plan for follow up?	Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.
Illy and Conectas; ADERE-MG	21/08/2018	13/08/2020	http://mneguidelines.oecd.org/database/instances/br0026.htm	Other	Follow-up planned for the future	NCP Italy
Starbucks and Conectas; ADERE-MG	21/08/2018	13/08/2020	http://mneguidelines.oecd.org/database/instances/br0026.htm	Other	Follow-up planned for the future	NCP USA
Van Oord and Brazilian NGOs	08/06/2015	05/06/2020	http://mneguidelines.oecd.org/database/instances/br0023.htm	Agreement reached between the parties outside the NCP process	Follow-up planned for the future	NCP The Netherlands
Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Other	Choose an item.	Click here to enter text.

Total number= 3

For all specific instances that were NOT ACCEPTED during the year:

Title	Date received (dd/mm/yyyy)	Link to OECD database if available	Reasons for not accepting the specific instance	Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.
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Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.

Total number= 0

For all specific instances for which FOLLOW UP was performed during the year:

Title	Date concluded (dd/mm/yyyy)	Date of follow up (dd/mm/yyyy)	Was a public follow up statement issued?	Link to the follow up statement, if applicable
Van Oord and Brazilian NGOs	05/06/2020	07/12/2020	No	To be reviewed and published
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.

Total number= 1

