

**PROGRAM FOR STRATEGIC SCIENTIFIC COOPERATION WITH THE GLOBAL SOUTH
CAPES/COOPBRASS CALL Nº 05/2019**

The Brazilian Federal Agency for Support and Evaluation of Graduate Education (Capes), a Public Foundation, by means of its Directorate for International Affairs (DRI), in the use of its legal status, makes public the Call for selection of joint research projects and invites interested parties to submit proposals as established herein for the Program for Strategic Scientific Cooperation with the Global South/COOPBRASS.

1. ON THE SPECIFIC PROVISIONS

This Call will select joint research projects within the scope of the Program for Strategic Scientific Cooperation with the Global South/COOPBRASS, to foster scientific exchange and academic mobility between Brazilian Higher Education Institutions (HEIs) or Scientific, Technological and Innovation Institutions (ICT) that have graduate programs at doctoral level with a grade equal to or higher than four in the 2017 Quadrennial Evaluation and similar institutions based in developing countries with which Brazil maintains an Agreement or Memorandum of Understanding in the area of Educational, Cultural or Science and Technologic Cooperation.

1.1. On the Objectives

- 1.1.1. Expand scientific knowledge through collaboration with the Global South.
- 1.1.2. Stimulate the formation of sustainable research networks in the medium and long term and support those already existing between Brazil and partner countries in the Global South.
- 1.1.3. Improve the quality of academic production linked to graduate studies.
- 1.1.4. Contribute to the search for solutions to problems and simultaneously promote the transfer of knowledge that supports scientific and technological development.
- 1.1.5. Establish institutional partnerships in all areas of knowledge, considering the vocations, priorities or potentialities of the institutions involved in Brazil and abroad.
- 1.1.6. Integrate other development actions of Capes in its effort to promote international scientific cooperation.

1.2. On the Schedule

| Expected Activity | Period/Date |
|--|--|
| Registration of proposals, including completing the online project registration form and sending the required documentation. | Up to 5 PM of May 31, 2019 (Brasilia Standard Time). |

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| Publication of the list of registrations received. | Up to five business days after the closing of applications. |
| Filing of administrative appeal in the evaluation stages of proposals and after publication of the result. | Within three business days after the communication made by Capes. |
| Assessment of proposals. | Until September 30, 2019. |
| Publication of results. | Until October 31, 2019. |
| Start of project activities. | From January 2020 |

1.3. On the Eligibility Criteria

- 1.3.1. The eligibility criteria in this Call are mandatory and the failure to comply with their provisions will result in the rejection of the proposal.

1.4. On the Requirements for the Proposal

- 1.4.1. In addition to complying with all conditions of participation established in this Call, the proposal must comply with the General Regulation for International Projects, the General Regulation of Foreign Scholarships, and the requirements below. Noncompliance will result in disqualification of the proposal.
- 1.4.2. The project proponent in Brazil must maintain permanent employment with the main institution, be a professor/lecturer in a graduate program at doctoral level with a grade of 4 or higher in the 2017 Quadrennial Evaluation and hold a doctorate degree for at least five years, considering the closing date of the registrations. The proponent must demonstrate recognized competence in the area of knowledge and availability for the academic and administrative activities related to the project, as well as adequate technical and scientific capacity for the development of the project.
- 1.4.3. The project proponent in Brazil must remain in Brazil for the duration of the project; absences are permitted for a maximum of one hundred and eighty days, consecutive or not, regardless of the motivation. If this requirement cannot be met, it will be necessary to replace the project coordinator in Brazil.
- 1.4.4. The teaching or research staff of the Brazilian and foreign project teams must maintain permanent employment with the main or associated institution, when applicable, and may not be retired or have a temporary contract.
- 1.4.5. The foreign project coordinator must hold a doctor's degree.
- 1.4.6. The Brazilian and foreign project teams must have, in addition to the project coordinators in Brazil and abroad, at least two faculty members or researchers with doctorates in each institution. Other professors or researchers who do not hold a doctoral degree may be part of the project team in Brazil. However, they may not use the resources available in this call for work missions.
- 1.4.7. The proposal shall provide for the mobility of at least one student per year and involve at least one institution in each country. Proposals for associated projects will be accepted, in the format:

- a. project in Brazil: main institution and up to two Brazilian associated institutions; and
- b. project abroad: main institution and up to one foreign associated institution.

1.4.8. The main foreign institution should be headquartered in one of the developing countries with which Brazil has an Agreement or Memorandum of Understanding in the area of Educational, Cultural or Science and Technologic Cooperation. The associated institution abroad may be headquartered in a country other than that listed in item 1.4.9.

1.4.9. For this Call, the following are countries eligible to host a leading institution abroad:

a. Africa, Asia and Oceania:

| | | |
|----------------------------------|-----------------------|-----------------------|
| South Africa | Angola | Algeria |
| Benin | Botswana | Cape Verde |
| Cameroon | China | Ivory Coast |
| Egypt | Gabon | Ghana |
| Guinea-Bissau | Equatorial Guinea | India |
| Iran | Lebanon | Mali |
| Morocco | Mozambique | Namibia |
| Nigeria | Pakistan | Kenya |
| Democratic Republic of the Congo | Republic of the Congo | São Tomé and Príncipe |
| Senegal | Syria | Thailand |
| Tanzania | East Timor | Togo |
| Tunisia | Turkey | |

b. Latin America and the Caribbean

| | | | |
|---------------------|-----------|---------------------|---------|
| Antigua and Barbuda | Argentina | Barbados | Bolivia |
| Chile | Colombia | Costa Rica | Cuba |
| El Salvador | Ecuador | Guatemala | Guyana |
| Haiti | Honduras | Jamaica | Mexico |
| Nicaragua | Panama | Paraguay | Peru |
| Dominican Republic | Suriname | Trinidad and Tobago | Uruguay |
| Venezuela | | | |

1.4.10. Institutions formally involved in the project must make available to the foreign counterpart:

- a. Place of work and infrastructure suitable for carrying out the student and teaching activities related to the project;
- b. Access to libraries, laboratories and other available facilities; and
- c. Linguistic support, when relevant and convenient during the period of stay of the professor, researcher or student.

1.5. On the Budgetary and Financial Resources

1.5.1 Each project approved may be supported each year with a maximum value of two

hundred and ninety-three thousand, two hundred and fifty-two reais (R\$ 293,252.00), which corresponds to an annual transfer of:

- a. Up to fifty-three thousand, eight hundred and eighty-four reais (R\$ 53,884.00) for work missions;
 - b. Up to ten thousand reais (R\$ 10,000.00), for project maintenance; and
 - c. Up to two hundred twenty-nine thousand, three hundred and sixty-eight reais (R\$ 229,368.00) for scholarships and benefits.
- 1.5.2 The financial resources that will be paid during the term of the project does not depend on the foreign exchange rate at the time of payment.
 - 1.5.3 The maximum amount to be paid may be reduced through a budgetary contingency imposed on the agency. If it occurs, it shall be communicated by means of a letter issued by the Capes coordinator responsible for the Program. On such occasions, the project coordinator in Brazil should readjust the project to the new financial framework.
 - 1.5.4 Capes will be responsible for scholarships, benefits, international stays, travel expenses, and project maintenance, only for the Brazilian project team.
 - 1.5.5 Work assignments, benefits and scholarships of the foreign project team will be the responsibility of the foreign counterpart(s) abroad.

1.6. On the Fundable Items

This Call will finance work missions, scholarships, benefits and maintenance for the project, lasting up to four years, for up to ten projects, and there may be a reduction of these amounts pursuant to item 1.5.4.

- 1.6.1. The work mission will correspond to a short trip of one of the teaching or research members, with a doctorate degree, from the Brazilian team to the country of the foreign partner institution.
- 1.6.2. During the work mission, the researchers will carry out activities of the project, consisting of meetings and technical visits to the foreign institutions, and supervising the activities of scholarship holders abroad.
- 1.6.3. The work mission shall occur, mandatorily, in main or associate foreign institution, headquartered in one of the countries listed in item 1.4.9. of this Call.
- 1.6.4. The number of work missions during the four years of project can vary between four and eight missions, two of which shall be made by the Brazilian project coordinator.
- 1.6.5. The minimum interval of two years shall be observed for the participation of the same Brazilian professor, except for the project coordinator in Brazil who may make one trip per year during the term of the project.
- 1.6.6. The duration of a work mission may not be less than seven or more than twenty days, this period established by current regulations.
- 1.6.7. All trips must be planned and informed at the time of registration, in a specific field of the online project registration form.
- 1.6.8. Resources for work missions include international stipends for Brazilian professors, travel expenses and health insurance established by current regulations.

- 1.6.9. Tickets in economy class and promotional fares should be purchased for the round trip of the Brazilian project team, between the country of origin and the country of destination, and any change shall be paid by whosoever gave cause to the fact.
- 1.6.10. To carry out a work mission, the leave of the teaching member or researcher from Brazil should be published in the Federal (DOU), State or Municipality Official Gazette, when it refers to a public servant, establishing a formal leave from the institution with partial onus to Capes throughout the mission period.
- 1.6.11. In other cases, the leave shall be authorized by the highest ranking director of the institution, and the partial onus to Capes shall be stated in the writing.
- 1.6.12. Scholarships abroad will be granted in the following modalities and durations:
- a. Sandwich Doctorate, from six to twelve months;
 - b. Teaching or Research Assistant for doctorate candidate, from four to six months;
 - c. Post-doctorate, from six to twelve months;
 - d. Junior Visiting Professor, from four to twelve months; and
 - e. Senior Visiting Professor, from four to twelve months.
- 1.6.13. Scholarships will be granted to grantees who will carry out activities in a main or associated institution abroad located in one of the countries listed in item 1.4.9 of this Call.
- 1.6.14. Grantees of the Sandwich Doctorate and Teaching or Research Assistant for doctoral students shall be duly enrolled in the graduate program of the Brazilian main or associate institution participating in the project. In all cases, grantees shall demonstrate their ability to develop academic and research activities in the area of interest of the project.
- 1.6.15. Grantees of the Sandwich Doctorate, Teaching or Research Assistant for doctoral student and Doctoral Degree shall prove the level of proficiency in the language of the destination country, or in English, as follows:
- a. For the English language, proficiency level equivalent to the following minimum grades should be presented in one of the tests:
 - I. TOEFL IBT, valid for two years: minimum of 71 points;
 - II. I. TOEFL IBT, valid for two years: minimum of 527 points;
 - III. IELTS, valid for two years: total minimum of 6.0, where each band (**listening, reading, writing and speaking**) must have a minimum grade of 5.0; or
 - IV. **Cambridge Exams**, no expiration date: CPE/C2 **Proficiency**, CAE/C1 **Advanced** or FCE/B2 **First**;
 - B. For Spanish, demonstrate a minimum level of B2 proficiency, certified by the DELE exam or by the full SIELE exam, which is valid for five years;
 - e. For the French language, prove proficiency level B2, through one of the certificates:
 - I. TCF Capes (**Test de Connaissance du Français**), complete and valid for two years; or
 - II. DELF, evidence level B2, no expiration date; and

III. DALF, prove level C1, no expiration date;

d. for other languages, official exams and levels equivalent to those above will be considered.

- 1.6.16. Any linguistic requirements of the foreign institution shall be met.
- 1.6.17. The performance of the proficiency test shall be the sole responsibility of the candidate.
- 1.6.18. The proof of proficiency will be by means of the presentation of a copy of the result of the test when the grantee is designated by the project coordinator in Brazil.
- 1.6.19. The selection of grantees in the modalities Sandwich Doctorate, Teaching or Research Assistant and Post-doctorate must comply with the basic selection guidelines, the requirements related to proficiency in the foreign language, the requirements established by Capes in the General Regulations for International Projects, the Regulations for Foreign Grants for each type of scholarship, as well as this Call, and any internal rules established by the main or associated institution in Brazil or abroad.
- 1.6.20. The implementation of scholarships shall be carried out within the terms and criteria established for each modality, according to the rules established by Capes.
- 1.6.21. The beginning of the grantees' activities shall coincide with the period of university activity in the foreign institution.
- 1.6.22. The validity of the scholarship cannot exceed the end of validity of the project to which it is linked.
- 1.6.23. Scholarships in the modalities Sandwich Doctorate and Teaching and Research Assistant for doctorate student cannot be granted to a candidate who already holds a doctorate degree, even in another area of knowledge.
- 1.6.24. The project coordinator in Brazil cannot receive a scholarship for the duration of the project, even if he/she leaves the coordination.
- 1.6.25. During the period of stay abroad, the grantee shall be involved in teaching and academic research activities.
- 1.6.26. The Sandwich Doctorate and Teaching or Research Assistant for doctorate students must return to Brazil at least six months before the presentation of the thesis.
- 1.6.27. The modalities Sandwich Doctorate and Teaching or Research Assistant for doctorate student cannot be granted to the same grantee participating in this Call.
- 1.6.28. The selection of the grantees should take place after the result of the Call and the documentation should be sent in a timely manner.
- 1.6.29. Grantees of this Program will be subject to an interval corresponding to the period immediately after the end of the scholarship and equivalent to the time of financial support of the grant awarded.
- 1.6.30. The values of the scholarships and benefits shall comply with the rules established by Capes.
- 1.6.31. Grantees may receive the following benefits:
 - a. Settling-in allowance;

- b. Health insurance allowance; and
 - c. Additional payment for assignment in high-cost city, when applicable.
- 1.6.32. Capes will issue tickets for round trips to the place of study and return to Brazil in economy class, upon receipt of the student's enrollment in the grant system, by completing the Application Form for Airline Tickets.
- 1.6.33. It is the responsibility of the grantees to obtain the appropriate and necessary visa for entry and stay in the country of destination before requesting the ticket.
- 1.6.34. In this Program, the following expenses will be allowed with the project maintenance resource:
- a. Grant for research activities and materials
 - b. Third parties' services - legal entity, regarding the payment of suppliers of material or service, by means of a detailed invoice.
- 1.6.35. The project maintenance funds shall be used exclusively for the payment of expenses essential for the execution of the project, in accordance with the provisions of the General Regulation for International Projects.
- 1.6.36. Capes will analyze the justification presented by the project coordinator in Brazil regarding the pertinence of the request for materials or services established in the Work Plan, template available on the Program page in the Capes Portal, according to the project objectives. It should be stressed that this adjustment is indispensable for the release of the funds.
- 1.6.37. The funds will be managed by the project coordinator in Brazil, observing the General Regulation for International Projects and the detailing presented in the Work Plan analyzed and approved by Capes.
- 1.6.38. Work missions coming to Brazil, financed by the foreign partner, may have differentiated rules for the granting of scholarships and benefits in accordance with the legislation of the respective countries.
- 1.6.39. Those already benefited with Doctorate, Junior Visiting Professor or Senior Visiting Professor scholarships, funded by a federal development agency, may participate as grantees in this Program by complying with the interval imposed by an earlier scholarship.

1.9. On the Required Documents

- 1.9.1. The following documents or information will be required:
- a. Detailed research project in Portuguese (pt-BR) or English, containing:
 - I. Presentation of the proponent and main and associated institutions, in Brazil and abroad;
 - II. Abstract in Portuguese (pt-BR);
 - III. Presentation of the project, including objectives, method and indicators that show the impact of the project in the area of knowledge, the quality of life of the population, and/or innovation, as well as consolidation of international cooperation;
 - IV. Justification of the actions that will contribute to the achievement of the objectives

of this Call;

V. Results to be achieved and potential for its expansion;

VI. Academic and scientific production goals;

VII. Joint action plan with the partner(s), with justification of the partnership or institutional action, detailing the importance of the project and the team;

VIII. Description of how the knowledge acquired abroad by the participants and potential of international mobility by teachers, researchers and, in particular, students, will be appropriated and disseminated by the main and associated Brazilian institutions;

IX. List of teaching or researching members from Brazil and abroad, informing the title of each one;

X. Specification of the infrastructure, including laboratorial, and of the counterparts offered by Brazilian and foreign institutions;

XV. Description of the objective criteria for the selection process of scholarship candidates, in accordance with the provisions of this Call and the General Regulations for International Projects, and presentation of indicators that will be used to gauge the results of the work of these scholarship holders;

XVI. Other relevant information, including a summary of results achieved through other international cooperation projects previously funded by Capes, when available; and

XVII. References.

b. Letter of support from the Brazilian institution issued by the Dean or the Graduate Dean of the main institution in Brazil, demonstrating the interest and institutional support, approving the indication of the project coordinator in Brazil, and confirming the infrastructure informed in the application, as well as information regarding the full exemption from academic and administrative fees;

c. Letter(s) of support from the associated Brazilian institution(s), in case of associated projects, issued by the Dean or Graduate Dean of the associated institution in Brazil, demonstrating the institutional interest and support and confirming the infrastructure informed in the registration of the project proposal, as well as information on the full exemption from academic and administrative fees;

d. Letter(s) of support from the main and foreign associate institution(s) issued in Portuguese or English by its highest authority or equivalent to the letter of the proposing institution, demonstrating institutional interest and support, approving the appointment of the project coordinator abroad, in the case of the main foreign institution, and confirming the availability of infrastructure offered in the application, in addition to information regarding full exemption from academic and administrative fees;

e. CVs of all Brazilian faculty members or researchers, inserted in the Lattes platform, including the project coordinator in Brazil, except students;

f. Summaries of all faculty members or researchers from abroad, including the project coordinator abroad, in English or Portuguese (pt-BR);

g. ORCID identifier (**Open Researcher and Contributor ID**) valid at the time of application for teaching members or researchers from Brazil;

- h. ORCID identifier (**Open Researcher and Contributor ID**) valid at the time of application for teaching members or researchers from abroad;
- i. Document proving, by the foreign team, financial support for the project throughout its duration. This document shall be issued by a responsible institution, body or entity, detailing the items that can be financed (daily expenses, tickets, grants and benefits). Proof of receipt of salary or other benefits associated with the professional link of the coordinator or other members of the foreign team will not be accepted; and
- j. Work Plan, containing the activities for each of the four years of the project, including:
- I. Schedule of activities
 - II. Description of work missions, including all actions planned for the total duration of the project, including for the period after the evaluation for renewal, within the annual limit of up to fifty-three thousand eight hundred and eighty-four reais (R\$ 53,884.00);
 - III. Number and duration of scholarships that will be implemented for the total duration of the project, including for the period after the evaluation for renewal, within the annual limit of up to two hundred twenty-nine thousand three hundred and sixty-eight reais (R\$ 229,368.00); and
 - IV. Budget forecast with a detailed description of expenses that will be made with the maintenance funds, for the total period of the project, including for the period after evaluation for renewal, within the annual limit of up to ten thousand reais (R\$ 10,000.00).